**TVC: Board Resolution on adjusting purposes to record the list of shareholders**

On September 23, 2021, Tri Viet Asset Management Corporation Joint Stock Company announced Resolution No. 21/2021/NQ-HDQT/TVC on adjusting purposes to record the list of shareholders as follows:

‎‎Article 1. Adjust purpose to record the list of shareholders (record date) to exercise the right to attend the first Extraordinary General Meeting of Shareholders 2021 as follows:

* Purposes to record the list before adjustment: Exercise the right to attend the first Extraordinary General Meeting of Shareholders 2021 to approve some contents under the authority of the General Meeting of Shareholders.
* Purposes to record the list after adjustment: Exercise the right to attend the first Extraordinary General Meeting of Shareholders 2021 or collect shareholders’ opinions via a ballot to approve some contents under the authority of the General Meeting of Shareholders.
* Expected date of the Meeting: Friday, November 05, 2021.
* Record date to receive the ballots from shareholders: Thursday, October 28, 2021.
* Reasons: Comply with the provisions of law on the time to record the list of shareholders. At the same time, due to the developments of Covid-19, the organization of the Extraordinary General Meeting of Shareholders or collecting shareholders’ opinions via a ballot will be conducted in accordance with the actual conditions at the record date of the list of shareholders to attend the scheduled meetings or collect opinions via a ballot.
* Other contents: Continue to implement according to Resolution No. 15/2021/NQ-HDQT/TVC of the Board of Directors on the organization of the first Extraordinary General Meeting of Shareholders 2021 on August 05, 2021 and Decision No. 18/2021/QD-CTHDQT/TVC of the Chair of the Board of Directors on the organization of the first Extraordinary General Meeting of Shareholders on September 17, 2021.

‎‎Article 2. Approve the contents of the Proposals to be submitted to the General Meeting of Shareholders for approval in the form of Extraordinary General Meeting of Shareholders or collecting shareholders’ opinions via a ballot, including:

* Contents approved in Resolution No. 15/2021/NQ-HDQT/TVC dated August 05, 2021, including:
* Proposal to adjust business plan for 2021;
* Proposal to adjust the maximum holding rate of 30% for foreign shareholders;
* Proposal to approve the foreign strategic investor's maximum holding rate of 30%. Authorize and assign tasks to the Board of Directors to select and decide on foreign strategic investors;
* Proposal to approve the strategy of in-depth business concentration in 04 key areas, no real estate business and divestment at Tri Viet Asset Management Corporation Joint Stock Company.
* Elect additional members for the Board of Directors;
* Proposal on amendments to the Company's Charter;
* Other contents under the authorities of the General Meeting of Shareholders.

‎‎Article 3. Approve the authorization to the Board of Directors to actively decide on the following contents:

* Select the form of the first Extraordinary General Meeting of Shareholders in 2021 (offline or online) or collect shareholders' opinions via a ballot in accordance with the Covid-19 situation to ensure Covid-19 prevention. The decision on meeting method or collecting shareholders’ opinions via a ballot must be punctually announced to shareholders as per provisions of the laws.
* Actively direct relevant individuals and units to organize the General Meeting of Shareholders or collect shareholders' opinions via a ballot according to the order and procedures specified in the Company's Charter and the provisions of laws.
* The Chair of the Board of Directors can authorize other individuals to promulgate documents and/or implement other tasks relevant to the organization of the General Meeting of Shareholders or collect shareholders’ opinion via a ballot according to the order and procedures specified in the Company’s Charter and the provisions of laws.
* Actively amend and supplement contents of proposals and the contents to be submitted to shareholders for approval mentioned in Article 2 of this Resolution.

‎‎Article 4. Terms of enforcement

This Resolution takes effect on the date of its signing.

Members of the Board of Directors, the Board of Management, relevant departments and individuals are responsible for the implementation of this Resolution.